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Docket No. 2000-096DIV5  
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 5/8/02.

BY: Annie Wong  
Annie Wong

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MAY 16 2002  
TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boussie et al.  
Serial No.: 09/992,630  
Filed: November 6, 2001  
For: Substituted Pyridyl Amine Complexes  
and Catalysts

Group Art Unit: 1754  
Examiner: Unknown

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> Preliminary Amendment             | <input checked="" type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment                | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)            |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings                             |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131              |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132              |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                         |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                      |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Request for Refund                          |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal                                      |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition                                    |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                               |

to be filed in the above-identified patent application.

☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

Date: 5-8-02

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Respectfully submitted,



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By: Annie Wong

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Attorney Docket No. 2000-096DIV5

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For: Substituted Pyridyl Amine Complexes and Catalysts.

Group Art 1754

Unit:

Examiner: Unknown

Santa Clara, California  
May 8, 2002

Assistant Commissioner for Patents and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


Applicant requests that the references cited herein be considered in connection with the examination of this application and listed on the front of any patent that issues. The references are listed on the attached modified PTO-1449 form. Copies of the references are enclosed.

Applicant believes that no fee is required for submission of this statement. If a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 50-0496.

Respectfully submitted,

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<b>INFORMATION DISCLOSURE CITATION</b>  	ATTORNEY'S DOCKET NO.:	APPLICATION NO.:
	2000-096DIV5	09/992,630
	APPLICANT: Boussie et al.	
FILING DATE: 11/06/2001		GROUP: 1754


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TC 1700

**US PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,297,465	10/27/81	Smith	526	141	10/29/80
	5,026,798	6/25/91	Canich	526	127	9/13/90
	5,064,802	11/12/91	Stevens et al.	502	155	7/03/90
	5,153,157	10/06/92	Hlatky et al.	502	117	3/20/90
	5,318,935	6/07/94	Canich et al.	502	117	7/30/92
	5,385,993	1/31/95	Fujita	526	119	10/14/93
	5,453,410	9/26/95	Kolthammer et al.	502	155	
	5,599,761	2/04/97	Turner	502	152	5/25/95
	5,616,664	4/01/97	Timmers et al.	526	127	6/07/95
	5,631,391	5/20/97	Canich	556	11	8/19/93
	5,637,660	6/10/97	Nagy et al.	526	160	4/17/95
	5,763,556	6/09/98	Shaffer et al.	526	348.4	5/21/96
	5,866,665	2/02/99	Shaffer et al.	526	348.4	10/28/97
	5,985,356	11/16/99	Schultz et al.	427	8	10/18/94
	6,030,917	2/29/00	Weinberg et al.	502	104	7/22/97
	6,034,240	3/07/00	LaPointe	546	24	3/09/98
	6,043,363	3/28/00	LaPointe et al.	544	225	3/08/99
	6,103,657	8/15/00	Murray	502	155	6/23/98
	6,214,939	4/10/01	Shinozaki et al.	525	270	6/03/98
	6,239,236	5/29/01	Morini et al.	526	124.9	9/18/00
	6,260,407	7/17/01	Petro et al.	73	61.52	4/02/99

**FOREIGN DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
	EP 0 277 004(A1)	8/03/88	EPO	C08F	4/64		X
	EP 0 622 380	11/02/94	EPO	C08F	10/06		X
	WO 98/03521	1/29/98	PCT	C07F	19/00		X
	WO 99/05186	2/04/99	PCT	C08F	10/00		X
	WO 99/06413	2/11/99	PCT	C07F	5/02		X

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449  		ATTORNEY'S DOCKET NO.: 2000-096DIV5	APPLICATION NO.: 09/992,630				
		APPLICANT: Boussie et al.					
		FILING DATE: 11/06/2001	GROUP 1754				
<b>FOREIGN DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
	WO 99/42467	8/26/99	PCT	C07F	5/02		X
	WO 00/09255	2/24/00	PCT	B01J	19/00		X
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)</b>							
Bercaw et al., J. Am. Chem. Soc., 1996, Vol. 118, 11988-11989							
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Sundell, T. et al., Polymer, 1996, 37, 3227-3231							

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.